



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/633,877	08/04/2003	3761	0.00	40145 8004 US00 00059.01 R	18	30	2

Alexza Molecular Delivery Corporation
 1001 East Meadow Circle
 Palo Alto, CA 94303



CONFIRMATION NO. 5255

FILING RECEIPT



OC000000011156502

Date Mailed: 11/04/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ron L. Hale, Woodside, CA;
 Amy T. Lu, Los Altos, CA;
 Daniel J. Myers, Mountain View, CA;
 Joshua D. Rabinowitz, Mountain View, CA;
 Martin J. Wensley, San Francisco, CA;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/057,197 10/26/2001

which claims benefit of 60/296,225 06/05/2001

This application 10/633,877

is a CIP of 10/057,198 10/26/2001

which claims benefit of 60/296,225 06/05/2001

This application 10/633,877

is a CIP of 10/146,080 05/13/2002

which is a CIP of 10/057,198 10/26/2001

This application 10/633,877

is a CIP of 10/146,086 05/13/2002

and is a CIP of 10/146,088 05/13/2002

which is a CIP of 10/057,198 10/26/2001

This application 10/633,877

is a CIP of 10/146,515 05/13/2002

which is a CIP of 10/057,198 10/26/2001

This application 10/633,877

is a CIP of 10/146,516 05/13/2002

which claims benefit of 60/294,203 05/24/2001

and claims benefit of 60/317,479 09/05/2001

This application 10/633,877

which claims benefit of 60/296,225 06/05/2001
 and is a CIP of 10/057,197 10/26/2001
 which claims benefit of 60/296,225 06/05/2001

which claims benefit of 60/296,225 06/05/2001
 and is a CIP of 10/057,197 10/26/2001
 which claims benefit of 60/296,225 06/05/2001

which claims benefit of 60/296,225 06/05/2001
 and is a CIP of 10/057,197 10/26/2001
 which claims benefit of 60/296,225 06/05/2001

this application
 10/633,877

is a CIP of 10/150,056 05/15/2002
 which claims benefit of 60/345,882 11/09/2001
 This application 10/633,877
 is a CIP of 10/150,267 05/15/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/150,268 05/15/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/150,591 05/17/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/150,857 05/17/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/151,596 05/16/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/151,626 05/16/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/152,639 05/20/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/152,640 05/20/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/152,652 05/20/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/153,139 05/20/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/153,311 05/21/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/153,313 05/21/2002
 and said 10/153,313
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 and claims benefit of 60/345,145 11/09/2001
 This application 10/633,877
 is a CIP of 10/153,831 05/21/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/153,839 05/21/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877

This application
 10/633,877

is a CIP of 10/154,594 05/23/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/154,765 05/23/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/155,097 05/23/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/155,373 05/22/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 and claims benefit of 60/345,876 11/09/2001
 This application 10/633,877
 is a CIP of 10/155,621 05/22/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 and claims benefit of 60/332,280 11/21/2001
 and claims benefit of 60/336,218 10/30/2001
 This application 10/633,877
 is a CIP of 10/155,703 05/22/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/155,705 05/22/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/280,315 10/25/2002
 which claims benefit of 60/335,049 10/30/2001
 and claims benefit of 60/371,457 04/09/2002
 This application 10/633,877
 is a CIP of 10/302,010 11/21/2002
 which claims benefit of 60/332,279 11/21/2001
 This application 10/633,877
 is a CIP of 10/302,614 11/21/2002
 which claims benefit of 60/332,165 11/21/2001
 This application 10/633,877
 is a CIP of 10/322,227 12/17/2002
 which claims benefit of 60/342,066 12/18/2001 ~~ABN~~
 and claims benefit of 60/412,068 09/18/2002

Foreign Applications

If Required, Foreign Filing License Granted: 10/31/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Thin-film drug delivery article and method of use

Preliminary Class

128

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No: ER 447 856 366 US

Date of Deposit: December 10, 2003

I hereby certify that the enclosed application, and any other documents referred to as enclosed herein are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Lissa Oros

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ron L. HALE, et al.

Serial No.: 10/633,877

Filing Date: 08/04/02

Date: December 10, 2003

For: THIN-FILM DRUG DELIVERY ARTICLE AND METHOD OF USE

MAIL STOP MISSING PARTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed (copy enclosed), applicant claims small entity status (see 37 CFR 1.27) and submits herewith the following:

Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration; \$385 for the Statutory basic filing fee; \$90 for 10 total claims over 20; \$43 for 1 Independent claim over 3;

A transmittal authorizing the charge of \$583.00 to Deposit Account 502731 is attached; and
A Return Postcard.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 502731. Please direct all correspondence to Customer Number 37485.

Respectfully Submitted,



Elaine C. Stracker Ph.D., J.D., Reg. No. 43,166
Vice President of Intellectual Property
Alexza Molecular Delivery Corporation
1001 East Meadow Circle
Palo Alto, CA 94303
TEL: (650) 687-3905
FAX: (650) 687-3999

12/12/2003 JERALINAN 00000063 502731 10633877
01 FC:2001 385.00 DA
02 FC:2201 43.00 DA
03 FC:2202 90.00 DA
04 FC:2051 65.00 DA



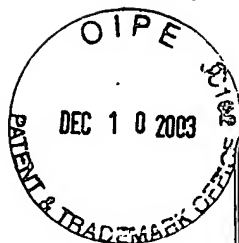
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/633,877
		Filing Date	08/04/02
		First Named Inventor	Ron L. HALE
		Art Unit	Not Yet Known
		Examiner Name	Not Yet Known
Total Number of Pages in This Submission	5	Attorney Docket Number	00059.01R

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
Remarks Request to Correct Filing Receipt (1 pp.) Corrected Copy of Filing Receipt (4 pp.) Return Postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Elaine C. Stracker J.D., Ph.D.
Signature	
Date	December 9, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the			
Typed or printed name	Lissa Oros		
Signature		Date	December 9, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



12-11-03

#

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/633,877	
	Filing Date	08/04/02	
	First Named Inventor	Ron L. HALE	
	Art Unit	Not Yet Known	
	Examiner Name	Not Yet Known	
Total Number of Pages in This Submission	9	Attorney Docket Number	00059.01R

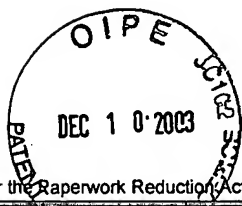
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Response to file Missing Parts (1 pp.) Fee Transmittal Form (2 pp.) Copy of Request to Correct Filing Receipt (6 pp.) Return Postcard	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Elaine C. Stracker J.D., Ph.D.
Signature	
Date	December 10, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name	Lissa Oros		
Signature		Date	December 10, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$583.00

Complete if Known

Application Number	10/633,877
Filing Date	08/04/02
First Named Inventor	Ron L. HALE
Examiner Name	Not Yet Known
Art Unit	Not Yet Known
Attorney Docket No.	00059.01R

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

502731

Deposit
Account
Name

Alexza Molecular Delivery Corp.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	385.00
1002	330	2002	165	Design filing	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND

	Extra Claims			Fee from below	Fee Paid
Total Claims	30	-20** = 10	X	9.00	= 90.00
Independent Claims	4	-3** = 1	X	43.00	= 43.00
Multiple Dependent					

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \$133.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	65.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$65.00

SUBMITTED BY

Name (Print/Type)	Elaine C. Stracker J.D., Ph.D.	Registration No. (Attorney/Agent)	43,166	Telephone	(650)687-3905
Signature				Date	12/10/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT
Docket 00059.01R

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ron L. HALE, et al.

Serial No.: 10/633,877

Filing Date: 08/04/02

Date: December 9, 2003

For: THIN-FILM DRUG DELIVERY ARTICLE AND METHOD OF USE

Request for Correction of Filing Receipt

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS PAPER AND THE DOCUMENTS
REFERRED AS BEING ATTACHED OR ENCLOSED HERewith ARE BEING
DEPOSITED WITH THE UNITED STATES POSTAL OFFICE ON
December 9, 2003. AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO: COMMISSIONER FOR PATENTS, PO Box 1450,
Alexandria, VA 22313-1450


Lissa Oros

Dear Sir:

Applicants request that the Patent and Trademark Office issue a corrected filing receipt for the above-identified Non-provisional patent application. The priority data, the name of inventor Amy T. LU, and the Attorney Docket Number are incorrectly listed in the filing receipt (as shown on the attached copy). Applicants respectfully request that a new Filing Receipt be issued that shows the correct priority data and correct Attorney Docket Number.

Respectfully Submitted,



Elaine C. Stracker. Ph.D., J.D.
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Vice President of Intellectual Property
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1001 East Meadow Circle
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